



STATE OF IOWA
APPLICATION FOR FEDERAL / STATE ASSISTANCE
DESIGNATION OF APPLICANT'S AUTHORIZED REPRESENTATIVE

GRANT / PROGRAM Public Assistance		DECLARATION NUMBER FEMA-1930-DR-IA		AWARDING AGENCY DHS-FEMA	
APPLICANT LEGAL NAME Ankeny, City of				FEDERAL EMPLOYER IDENTIFICATION # 42-6004235	
PHYSICAL ADDRESS 410 West First Street				FISCAL YEAR START DATE 07-01-2010	
CITY Ankeny	STATE IA	POSTAL CODE 50023	COUNTY Polk		
ORGANIZATION TYPE (check one)					
<input type="checkbox"/> State Agency		<input checked="" type="checkbox"/> City		<input type="checkbox"/> Special District	
<input type="checkbox"/> County		<input type="checkbox"/> Township		<input type="checkbox"/> Private Non-Profit	
<input type="checkbox"/> Other > >		Organization Type: _____			

APPLICANT'S DESIGNATION OF AUTHORIZED REPRESENTATIVE(S)

The signatures of the individual(s) named below shall be recognized as certification that, to the best of their knowledge and belief, the information on this application is complete and accurate, and that their respective designation to sign and file documents on behalf of the applicant cited above is authorized by official resolution, code, or statute, recognized by the governing body of the applicant as legally binding. A change of Authorized Representative will require submittal of a revised application form.

AUTHORIZED REPRESENTATIVE

NAME James H. Spradling		JOB TITLE Assistant City Manager			
ORGANIZATION NAME City of Ankeny		E-MAIL ADDRESS jspradling@ankenyiowa.gov			
MAILING ADDRESS 410 West First Street		CITY Ankeny	STATE IA	POSTAL CODE 50023	
OFFICE TELEPHONE # (515)965-6411		FACSIMILE # (515)965-6416		CELLULAR TELEPHONE # (515)208-4694	
SIGNATURE OF AUTHORIZED REPRESENTATIVE 		DATE 9-1-10			

CHIEF FINANCE OFFICER

NAME Jennifer Sease		JOB TITLE Finance Director			
ORGANIZATION NAME City of Ankeny		E-MAIL ADDRESS jsease@ankenyiowa.gov			
MAILING ADDRESS 410 West First Street		CITY Ankeny	STATE IA	POSTAL CODE 50023	
OFFICE TELEPHONE # (515)965-6409		FACSIMILE # (515)965-6416		CELLULAR TELEPHONE #	
SIGNATURE OF CHIEF FINANCE OFFICER 		DATE 9/1/10			

STATE OF IOWA
APPLICANT'S ASSURANCES AND CERTIFICATIONS

NOTE: Certain of these assurances and certifications may not be applicable to this project or program. If you have questions, please contact the Awarding Agency. Certain Federal assistance Awarding Agencies may require applicants to certify to additional assurances not included in this document. Applicants will be instructed by the Awarding Agency if additional assurances are required.

AS THE DULY DESIGNATED AUTHORIZED REPRESENTATIVE FOR THIS APPLICANT, I DO HEREBY AGREE IN THEIR NAME AND ON THEIR BEHALF TO ALL OF THE FOLLOWING TERMS AND CONDITIONS OF THIS GRANT.

I HOLD HARMLESS AGREEMENT

Pursuant to sections 403 and 407 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (P.L. 93-288), as amended, (42 U.S.C. §§170 et seq. and 5173(b)), the undersigned agrees to indemnify, hold harmless, and defend the United States of America and the State of Iowa, as well as their agents and employees, from any claims arising from the removal of debris or wreckage for this disaster event. This applicant agrees that debris removal from public and private property will not occur until such time as the land-owner provides an unconditional authorization for the removal of debris.

II CERTIFICATION REGARDING LOBBYING

As required by the regulations implementing the New Restrictions on Lobbying (44 CFR §18), this applicant hereby certifies that, to the best of their knowledge and belief:

1. No Federal appropriated funds have been or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress, in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, or the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress, in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance is placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction, imposed by §1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

III DEBARMENT, SUSPENSION, & OTHER RESPONSIBILITY MATTERS

As required by Executive Order #12549, Debarment and Suspension, and implemented by 44 CFR Part 17, for prospective participants in primary covered transactions, as defined in 44 CFR Part 17, §17.510.

This applicant hereby certifies that it and its principals:

1. (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency.
- (b) Have not within a three-year period preceding this application been convicted of, or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes, or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
- (c) Are not presently indicted for, or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local), with commission of any of the offenses enumerated in the preceding paragraph of this certification.
- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.
2. Where the applicant is unable to certify to any of the statements in this certification, they shall attach an explanation to this application.

IV ASSURANCES - CONSTRUCTION & NON-CONSTRUCTION PROGRAMS

This duly authorized representative for this applicant does hereby certify that it:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial, and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management, and completion of the project described in this application.
2. Will give the Awarding Agency, the Comptroller of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award or assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards, or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives, and will include a covenant in the title of real property in accordance with Awarding Agency directives, and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review, and approval of construction plans and specifications.
5. Will provide and maintain competent, adequate engineering supervision at all construction sites to ensure that the complete work conforms with the approved plans and specifications, and will furnish progress reports and other information as required by the assistance awarding agency, or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes, or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728 - 4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen (19) statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personal Administration (5 CFR §900, Sub-part F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of a lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include, but are not limited to:
 - (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin.
 - (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 - 1683 and 1685 - 1686), which prohibits discrimination on the basis of sex.
 - (c) Section 504 of the Rehabilitation Act of 1973, as amended (U.S.C. §794), which prohibits discrimination on the basis of handicaps.
 - (d) The Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101 - 6107), which prohibits discrimination on the basis of age.
 - (e) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to non-discrimination on the basis of drug abuse.
 - (f) The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism.
 - (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records.
 - (h) Title VIII of the Civil Rights Act of 1968, (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental, or financing of housing.
 - (i) Any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made.
 - (j) The requirements on any other non-discrimination statute(s) which may apply to the application.

STATE OF IOWA
SINGLE AUDIT REQUIREMENTS FOR FEDERAL GRANTS

AS THE DULY DESIGNATED AUTHORIZED REPRESENTATIVE FOR THIS APPLICANT, I DO HEREBY AGREE IN THEIR NAME AND
ON THEIR BEHALF TO ALL OF THE FOLLOWING TERMS AND CONDITIONS OF THIS GRANT.

All records of work associated with the claimed costs on every project are to be maintained for a minimum of three (3) years from the closing date of the grant or sub-grant and are subject to State and Federal review under the "Single Audit Act, as amended" and the "Single Audit Act Amendments of 1996, as amended".

The Office of Management and Budget Circular A-133, the "Single Audit Act, as amended", and the "Single Audit Act Amendments of 1996, as amended", require that Non-Federal entities that expend \$500,000.00 or more in a year in Federal awards shall have a single audit conducted. A program specific audit may be conducted in place of a single audit if the auditee meets the terms specified in Office of Management and Budget Circular A-133 §____,200, paragraph C.

The Single Audit Act provides that the audit shall be made by an independent auditor, and in addition to the financial audit, requires that the independent auditor determine and report on whether the organization has internal control systems to provide reasonable assurance that it is managing Federal assistance programs in compliance with applicable laws and regulations. In order to provide this assurance the auditor must make a study and evaluation of internal control systems used in administering Federal assistance programs. The study and evaluation must be made whether or not the auditor intends to place reliance on such systems.

If there is a "finding" relating to a Federal program administered by Iowa Homeland Security and Emergency Management Division:

A copy of the official audit must be submitted within nine (9) months of the end of the auditee's fiscal year to:

Iowa Homeland Security and Emergency Management Division
7105 NW 70th Avenue, Camp Dodge - Bldg W-4
Johnston, IA 50131

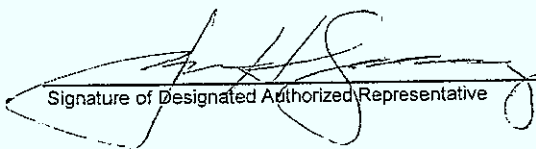
If there are no "findings"

A copy of the audit report is not required, but the auditee shall provide written notification that:

- ♦ an audit was conducted, *including* -
 - entity (auditee) name
 - Catalog of Federal Domestic Assistance (CFDA) number(s)
 - the period covered by the audit
 - dollar amount expended
- ♦ the schedule of findings and questioned costs disclosed no audit findings relating to Federal award(s) administered by Iowa Homeland Security and Emergency Management Division
- ♦ the summary of schedule of prior audit findings did not report on the status of any audit findings related to Federal award(s) administered by Iowa Homeland Security and Emergency Management Division

THE SIGNATURE CERTIFICATION OF THIS FORM SHALL BE TREATED AS A MATERIAL REPRESENTATION OF FACT UPON WHICH RELIANCE WILL BE PLACED WHEN THE GRANTEE DETERMINES TO AWARD THE COVERED TRANSACTION, GRANT, OR COOPERATIVE AGREEMENT.

City of Ankeny
Applicant Name


Signature of Designated Authorized Representative

FFMA-1930-DR-IA
Grant ID

9-1-10
Date

STATE OF IOWA
APPLICANT'S ASSURANCES AND CERTIFICATIONS

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646), which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or Federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501 - 1508 and 7324 - 7328) which limit the political activities of employees whose principle employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327 - 333) regarding labor standards for federally-assisted construction sub-agreements.
14. Will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with the environmental standards which may be prescribed pursuant to the following:
 - (a) Institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190 and Executive Order (EO) 11514.
 - (b) Notification of violating facilities pursuant to EO 11738.
 - (c) Protection of wetlands pursuant to EO 11990.
 - (d) Evaluation of flood hazards in flood plains in accordance with EO 11988.
 - (e) Assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §1451 et seq.).
 - (f) Conformity of Federal actions to State (Clean Air) Implementation Plans under section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.).
 - (g) Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523).
 - (h) Protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild Scenic Rivers Act of 1968 (16 U.S.C. §1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the Awarding Agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
19. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
20. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133.
21. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

V CERTIFICATION OF DRUG-FREE WORKPLACE REQUIREMENTS

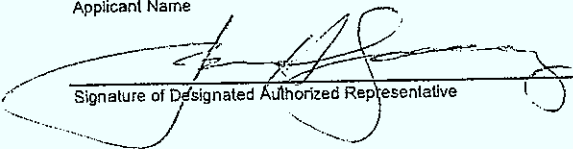
This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988 and 44 CFR Part 17, Sub-part F. The regulations, published in the May 25, 1990 Federal Register, require certification by sub-grantees, prior to award, that they will maintain a drug-free workplace. The certification that follows is a material representation of fact upon which reliance will be placed when the Agency determines to award the grant. False certification or violation of the certification shall be grounds for suspension of payments, suspension or termination of grants, or government wide suspension or debarment (44 CFR Part 17, subpart C, 17.300, and subpart D, 17.400).

1. The Sub-grantee certifies that it will or will continue to provide a drug-free workplace by:
 - (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the sub-grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
 - (b) Establishing an ongoing drug-free awareness program to inform employees about -
 - (1) The dangers of drug abuse in the workplace.
 - (2) The sub-grantee's policy of maintaining a drug-free workplace.
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs.
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
 - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the notification statement required by paragraph (a).
 - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -
 - (1) Abide by the terms of the statement.
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
 - (e) Notifying the agency in writing within ten calendar days after receiving notice under sub-paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant.
 - (f) Taking one of the following actions within 30 calendar days of receiving notice under sub-paragraph (d)(2), with respect to any employee who is so convicted -
 - (1) Taking appropriate personnel action against such an employee, up to and including termination of employment, consistent with the requirements of the Rehabilitation Act of 1973, as amended, - or -
 - (2) Requiring the employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.
 - (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), & (f).

The terms and conditions of this certification apply to any and all sites and locations at which the sub-grantee works or otherwise conducts business.

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City of Ankeny
Applicant Name


Signature of Designated Authorized Representative

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